



Attorney Docket No.: SONY-02400

Patent

2368

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:) Art Unit: 3621
Deguchi)
Serial No.: 09/905,356) Examiner: Firmin Backer
Filed: July 13, 2001)
For: Vendor ID Tracking for E-MARKER)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313

TRANSMITTAL

Sir/Madam:

Please find enclosed a copy of form 1449, credit card statement with fee of \$180. and a post card.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit overpayment to Deposit Account No: 50-1963. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Dated: January 31, 2005

Richard H. Butler

Registration No. 40,932

Please Send Correspondence to:
Valley Oak Law
5655 Silver Creek Valley Road
#106
San Jose, CA 95138
(408)223-9763

I hereby certify that this document and any document referenced herein is being deposited with the USPS as first class mail addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313 on 13/10/05

Richard H. Butler

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

4

Complete if Known

Application Number	09/905,356
Filing Date	July 13, 2001
First Named Inventor	Deguchi
Art Unit	3621
Examiner Name	Firmin Backer

Attorney Docket Number

sony-02400

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (<i>if known</i>)			
		US- 6,216,230	04-10-2001	Rallis et al	
		US- 2002/0068991	06-06-2002	Fitsimmons	
		US- 2003/027206	11-20-2003	Poo	
		US- 2003/0174167	09-18-2003	Poo et al	
		US- 2000/0097351	05-22-2003	Rothschild et al	
		US- 2003/0027634	02-05-2003	Matthews III	
		US- 2002/0162009	10-31-2002	Shmueli et al	
		US- 6,169,498	01-18-2001	King et al	
		US- 2004/0203729	10-12-2004	Makipaa et al	
		US- 2001/0020239	09-30-2001	Ukigawa	
		US- 2002/0174372	11-12-2002	Burana Venkataraman	
		US- 6,427,175	07-12-2002	Khan et al	
		US- 6,493,702	12-12-2002	Adara et al	
		US- 6,650,534	11-18-2003	Tree	
		US- 2002/0007354	01-17-2002	Deguchi	
		US- 6,578,047	06-10-2003	Deguchi	
		US- 6,148,261	11-14-2000	Obradovich et al	
		US- 6,515,595	02-04-2003	Obradovich et al	
		US- 3,836,842	09-17-1974	Zimmermann et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

4

Complete if Known

Application Number	09/905,356
Filing Date	July 13, 2001
First Named Inventor	Deguchi
Art Unit	3621
Examiner Name	Firmin Backer

Attorney Docket Number sony-02400

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,353,398	03-05-2002	Amin et al	
		US- 5,297,118	03-22-1994	Sakumoto	
		US- 5,199,009	03-30-1993	Svast	
		US- 6,571,577	06-03-2003	Radley-Smith	
		US- 6,556,513	04-29-2003	Wimberly	
		US- 6,323,783	11-27-2001	Lizzi	
		US- 6,158,884	12-12-2000	Lebby et al	
		US- 5,889,737	03-30-1999	Alameh et al	
		US- 5,508,978	04-16-1996	Kalbermatter et al	
		US- 6,392,635	05-12-2002	Snyder	
		US- 6,290,517	09-18-2001	Anderson	
		US- 6,304,249	10-29-2001	Derocher et al	
		US- 6,088,021	07-01-2000	Young	
		US- 6,144,864	11-15-2000	Lands et al	
		US- 5,850,218	12-15-1998	Lajoie et al	
		US- 6,128,009	10-03-2000	Ohkura et al	
		US- 6,289,346	11-11-2001	Milewski et al	
		US- 6,356,971	03-12-2002	Katz et al	
		US- 6,507,727	01-14-2003	Henrick	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	<input checked="" type="checkbox"/> <input type="checkbox"/>
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)				
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

3

of

4

Complete if Known

Application Number	09/905,356
Filing Date	July 13, 2001
First Named Inventor	Deguchi
Art Unit	3621
Examiner Name	Firmin Backer

Attorney Docket Number sony-02400

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,680,714	01-20-2004	Wilmore	
		US- 6,199,125	03-06-2001	Cortesi	
		US- 6,104,334	08-15-2000	Allport	
		US- 6,559,773	05-06-2003	Berry	
		US- 5,579,472	11-26-1996	Keyworth II et al	
		US- 5,915,288	06-22-1999	Gabriel	
		US- 2004/0171377	09-10-2004	Engstrom	
		US- 2002/0032019	03-01-2002	Marks et al	
		US- 2003/0005433	01-02-2003	Janik et al	
		US- 2002/0164973	11-07-2002	Janik et al	
		US- 2003/00293421	01-30-2003	Finn et al	
		US- 5,758,257	05-26-1998	Herz et al	
		US- 6,330,490	12-11-2001	Kin et al	
		US- 6,100,884	08-08-2000	Tomita et al	
		US- 2001/0034219	10/25/2001	Hewitt et al	
		US- 2002/0056084	05-09-2002	Harris et al	
		US- 6,360,209	03-10-2002	Loeb et al	
		US- 2001/0051996	12-02-2001	Cooper et al	
		US- 2001/0040977	11-05-2002	Nakano	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

4

of

9

Complete if Known

Application Number	09/905,356
Filing Date	July 13, 2001
First Named Inventor	Deguchi
Art Unit	3621
Examiner Name	Firmin Backer

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,263,438	07-15-2001	Walker et al	
		US- 6,272,545	08/28/2001	Flanagin et al	
		US- 6,637,028	10-10-2003	Voyticky et al	
		US- 6,650,877	11-18-2003	Tarbouriech et al	
		US- 6,674,993	01-08-2004	Tarbouriech	
		US- 6,763,371	07-22-2004	Jandel	
		US- 2002/0174431	11-10-2002	Bowman	
		US- 2002/0120850	08-22-2002	Walker et al	
		US- 2002/0032698	03-15-2002	Cox	
		US- 6,230,172	05-08-2001	Purnaveja et al	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.